



Title	Non-Compliance
SOP Code	903.004
Effective Date	15-May-2023

Site Approvals

Name and Title (typed or printed)	Signature	Date dd/Mon/yyyy

1.0 PURPOSE

This standard operating procedure (SOP) describes the Research Ethics Board’s (REB) process for responding to reports of non-compliance.

2.0 SCOPE

This SOP pertains to REBs that review human participant research in compliance with applicable regulations and guidelines.

3.0 RESPONSIBILITIES

All REB members, Research Ethics staff and Researchers are responsible for ensuring that the requirements of this SOP are met.

Researchers are required to comply with all of the applicable guidelines and regulations governing the conduct of human research, as well as with the required conditions of approval of the REB.

The Research Ethics staff and the REB members are responsible for acting on information or reports of non-compliance received from any source by promptly notifying the Research Integrity Officer (RIO).

4.0 DEFINITIONS



5.0 PROCEDURE

The R60.01 Responsible Conduct of Research: Procedures to Address Allegations shall apply.

6.0 REFERENCES

See References.

7.0 REVISION HISTORY

SOP Code	Effective Date	Summary of Changes
SOP903.001	15-Sept-2014	Original version
SOP903.002	08-Mar-2016	No revisions needed
SOP903.003	08-Oct-2019	No revisions needed
SOP903.004	15-May-2023	No revisions needed