

# 15 Transformation Subgroups

## Subgroups

Informally, we have described a symmetry of an object as a “structure-preserving” transformation that sends the object back to itself. As an example of what this informal notion means, consider a square  $S$  in the plane. Rotating  $S$  by  $90^\circ$  around the centre of  $S$  is a symmetry of it. However, the transformation of  $\mathbb{R}^2$  that fixes every point of the plane except for two opposite corners of  $S$  and interchanges these two is not a symmetry of  $S$ . To work with symmetries of such objects, we will not be working with all of the transformation group  $\text{Trans}(\mathbb{R}^n)$ , but rather a subset of it. This brings us to the following key notion.

**Definition.** A subset of transformations,  $\mathcal{G} \subseteq \text{Trans}(X)$  is a *subgroup* if it satisfies:

- $I_X \in \mathcal{G}$ . (Identity containment)
- If  $F, G \in \mathcal{G}$ , then  $FG \in \mathcal{G}$ . (Closure under products)
- If  $F \in \mathcal{G}$ , then  $F^{-1} \in \mathcal{G}$ . (Closure under inverses)

In this case we also call  $\mathcal{G}$  a *transformation subgroup* or a *subgroup of transformations*.

**Note:** A subgroup  $\mathcal{G} \subseteq \text{Trans}(X)$  has all of the key features of the original group (the identity, products, and inverses). Therefore,  $\mathcal{G}$  is group on its own.

Continuing our informal discussion of symmetry, let us note that the set of symmetries of a square will naturally form a subgroup of  $\text{Trans}(\mathbb{R}^2)$  since the identity function is a symmetry, the product of two symmetries is another symmetry (this just means performing one then the other) and the inverse of a symmetry is a symmetry.

**Examples:** Here are some subgroups of  $\text{Trans}(\mathbb{R})$ .

1.  $\mathcal{F} = \{F \in \text{Trans}(\mathbb{R}) \mid F \text{ is continuous}\}$ .
2.  $\mathcal{G} = \{F \in \text{Trans}(\mathbb{R}) \mid F \text{ is differentiable}\}$ .
3.  $\mathcal{H} = \{F \in \text{Trans}(\mathbb{R}) \mid F(x) = cx \text{ for some } c \neq 0\}$ .
4.  $\mathcal{J} = \{F \in \text{Trans}(\mathbb{R}) \mid F(x) = x + c \text{ for some } c \in \mathbb{R}\}$ .

## Subgroups of $S_n$

Before diving into the world of subgroups of  $\text{Trans}(\mathbb{R}^n)$  let us pause to prove one result concerning a subgroup of  $S_n$ .

**Definition.** Let  $A_n = \{A \in S_n \mid A \text{ is even}\}$ . The following lemma shows that  $A_n$  is a subgroup and we call it the *alternating group*.

**Lemma 15.1.**  $A_n$  is a subgroup of  $S_n$ .

*Proof.* To prove that a subset of a group is a subgroup, we need to check that it contains the identity, is closed under products, and is closed under inverses. We previously showed that the identity is an even permutation, so  $I \in A_n$ . We also proved that whenever  $A, B$  are even, the product  $AB$  is even, so  $A_n$  is closed under products. Finally, if  $A$  is even, then  $A^{-1}$  must also be even since  $AA^{-1} = I$  is even. Therefore  $A_n$  is closed under inverses.  $\square$

**Proposition 15.2.** The groups  $S_n$  and  $A_n$  have sizes  $|S_n| = n!$  and  $|A_n| = \frac{1}{2}n!$  for  $n \geq 2$

*Proof.* To see that the number of permutations in  $S_n$  is equal to  $n!$ , consider one row notation. There are  $n$  choices for the first position,  $n - 1$  for the second,  $n - 2$  for the third, and so on. The total number of elements is therefore  $n(n - 1) \dots (2)(1) = n!$ .

Define a function  $F : S_n \rightarrow S_n$  by the rule  $F(A) = (12)A$ . The composition of this function with itself is the identity (since applying it twice has the effect of multiplying the input permutation on the left by  $(12)(12) = I$ ). It follows from this that  $F$  is a bijection. If  $A \in S_n$  is even, then  $F(A)$  is odd, and if  $A$  is odd, then  $F(A)$  is even. Therefore  $|A_n| = |F(A_n)| = |S_n \setminus A_n|$  from which it follows that  $|A_n| = \frac{1}{2}|S_n| = \frac{1}{2}n!$ .  $\square$

## Subgroups of $\text{Trans}(\mathbb{R}^n)$

**Definition.** For every  $\mathbf{y} \in \mathbb{R}^n$  define the function  $T_{\mathbf{y}} : \mathbb{R}^n \rightarrow \mathbb{R}^n$  by the rule  $T_{\mathbf{y}}(\mathbf{x}) = \mathbf{x} + \mathbf{y}$ . We call the function  $T_{\mathbf{y}}$  a *translation* by  $\mathbf{y}$ . We let  $\mathcal{T}_n = \{T_{\mathbf{y}} \mid \mathbf{y} \in \mathbb{R}^n\}$ . The following lemma shows that  $\mathcal{T}_n$  is a subgroup and we call it the *translation group*.

**Lemma 15.3.**  $\mathcal{T}_n$  is a subgroup of  $\text{Trans}(\mathbb{R}^n)$ .

*Proof.* For every  $\mathbf{y} \in \mathbb{R}^n$  the functions  $T_{\mathbf{y}}$  and  $T_{-\mathbf{y}}$  are inverse. It follows immediately from this that  $\mathcal{T}$  is a subset of  $\text{Trans}(\mathbb{R}^n)$ . Furthermore, this observation shows that  $\mathcal{T}$  is closed under inverses. The function  $T_{\mathbf{0}}$  is the identity function since it maps  $\mathbf{x}$  to  $\mathbf{x} + \mathbf{0} = \mathbf{x}$ . To prove closure under products, let  $\mathbf{x}, \mathbf{y}, \mathbf{z} \in \mathbb{R}^n$  and observe that

$$T_{\mathbf{y}}T_{\mathbf{z}}(\mathbf{x}) = T_{\mathbf{y}}(\mathbf{x} + \mathbf{z}) = \mathbf{x} + \mathbf{z} + \mathbf{y} = T_{\mathbf{y}+\mathbf{z}}(\mathbf{x})$$

It follows that  $T_{\mathbf{y}}T_{\mathbf{z}} = T_{\mathbf{y}+\mathbf{z}}$ , so  $\mathcal{T}$  is closed under products. It follows that  $\mathcal{T}$  is a subgroup of  $\text{Trans}(\mathbb{R}^n)$  as desired.  $\square$

**Note:** Usually when working with functions it is most convenient to give them names. For instance, we may define  $f : \mathbb{R} \rightarrow \mathbb{R}$  by the rule  $f(x) = x^2$  and this gives the name  $f$  to the “squaring function”. However, in other instances we might just write  $y = x^2$  to describe this same function without giving it a name. Another variation of this is to write  $x \rightarrow x^2$  to describe the same function. More generally, we will use the notation  $\mathbf{x} \rightarrow$  (expression in terms of  $\mathbf{x}$ ) to describe a functions of Euclidean space (in many such instances, the domain and codomain must be inferred from context).<sup>1</sup>

**Definition.**  $GL_n = \{\mathbf{x} \rightarrow A\mathbf{x} \mid A \text{ is an invertible } n \times n \text{ matrix}\}$ . The following lemma shows that  $GL_n$  is a subgroup and we call it the *general linear group*.

**Lemma 15.4.**  $GL_n$  is a subgroup of  $\text{Trans}(\mathbb{R}^n)$ .

*Proof.* For every invertible matrix  $A$ , the function  $\mathbf{x} \rightarrow A\mathbf{x}$  has inverse function  $\mathbf{x} \rightarrow A^{-1}\mathbf{x}$ . Therefore  $GL_n$  is a subset of  $\text{Trans}(\mathbb{R}^n)$ . Furthermore, this observation shows that  $GL_n$  is closed under inverses. The identity function  $I$  is given by the identity matrix, so it is contained in  $GL_n$ . To prove closure under multiplication, let  $A, B$  be invertible matrices and note that  $\det A \neq 0 \neq \det B$ . Now the composition of  $\mathbf{x} \rightarrow A\mathbf{x}$  and  $\mathbf{x} \rightarrow B\mathbf{x}$  is the function  $\mathbf{x} \rightarrow AB\mathbf{x}$ . Since  $\det AB = \det A \det B \neq 0$  the matrix  $AB$  is also invertible, and therefore,  $GL_n$  is closed under products.  $\square$

**Definition.**  $AGL_n = \{\mathbf{x} \rightarrow A\mathbf{x} + \mathbf{w} \mid A \text{ is an invertible } n \times n \text{ matrix and } \mathbf{w} \in \mathbb{R}^n\}$ . The following lemma shows that  $AGL_n$  is a subgroup and we call it the *general affine group*.

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<sup>1</sup>Why would we want to describe a function but not name it? Naming an object gives it a certain significance and status, so for clarity we wish to keep names to a minimum. Our choice not to give a name to a function is a decision to place emphasis elsewhere.

**Lemma 15.5.**  $AGL_n$  is a subgroup of  $\text{Trans}(\mathbb{R}^n)$ .

*Proof.* Every function in  $AGL_n$  is the composition of a function in  $GL_n$  and a function in  $\mathcal{T}_n$  so it is indeed a transformation. Therefore  $AGL_n$  is a subset of  $\text{Trans}(\mathbb{R}^n)$ . The identity function is given by  $\mathbf{x} \rightarrow I\mathbf{x} + \mathbf{0}$  so it is contained in  $AGL_n$ . Let  $A, B$  be invertible and let  $\mathbf{y}, \mathbf{z} \in \mathbb{R}^n$ . The composition of  $\mathbf{x} \rightarrow A\mathbf{x} + \mathbf{y}$  and  $\mathbf{x} \rightarrow B\mathbf{x} + \mathbf{z}$  sends  $\mathbf{x}$  to

$$B(A\mathbf{x} + \mathbf{y}) + \mathbf{z} = BA\mathbf{x} + (B\mathbf{y} + \mathbf{z})$$

Since  $A, B$  are invertible, the matrix  $BA$  is also invertible and we find that  $AGL_n$  is closed under products. Finally we claim that the function  $\mathbf{x} \rightarrow A\mathbf{x} + \mathbf{y}$  has inverse  $\mathbf{x} \rightarrow A^{-1}\mathbf{x} + A^{-1}(-\mathbf{y})$ . To verify this, note that the composition of these functions sends  $\mathbf{x}$  to

$$A(A^{-1}\mathbf{x} + A^{-1}(-\mathbf{y})) + \mathbf{y} = \mathbf{x} + A^{-1}A(-\mathbf{y}) + \mathbf{y} = \mathbf{x}.$$

We conclude that  $AGL_n$  is closed under inverses, and is therefore a subgroup.  $\square$

It is straightforward to see that  $GL_n, \mathcal{T}_n \subseteq AGL_n$ . The only transformation in  $\mathcal{T}_n$  which sends  $\mathbf{0}$  to  $\mathbf{0}$  is the identity, so  $GL_n \cap \mathcal{T}_n = \{I\}$ . This gives the Venn Diagram below.

